

OPEGA Biennial Work Plan for 2015-2016 (Status updated as of 11-9-16)

| Project Topic | Resp Dept | Phase | General Scope | Detailed Scope Avail? |
|---|---|-----------|--|-----------------------|
| In Progress | | | | |
| DHHS Licensing and Regulation of Child Care Providers | Dept of Health & Human Services | Fieldwork | Extent to which there is timely and effective investigation and action on reports of child care licensing violations or child abuse and neglect at child care centers; extent to which there is timely notification to current and prospective child care clients (parents) of allegations and findings against child cares. | Yes |
| Northern New England Passenger Rail Authority | NNEPRA | Reporting | Assessment of use of resources; procurement and contracting; oversight and governance; long and short range planning; and achievement of statutory purpose. | No |
| State Lottery | DAFS Bureau of Alcoholic Beverages and Lottery Operations | Fieldwork | Governance and oversight of State Lottery; Lottery considerations for decisions on game offerings and marketing including whether particular demographic or geographic groups are targeted; how lottery winnings are considered when determining eligibility for public benefits; and analysis of lottery tickets purchases and winnings by county. | Yes |
| Tax Expenditure Evaluation: Employment Tax Increment Financing | Dept. of Economic and Community Development | Fieldwork | Fiscal impacts, effectiveness of program design; extent to which program is achieving intended purposes and goals; extent to which those benefiting are the intended beneficiaries; administration of the program; and extent to which program is coordinated with, complementary to or duplicative of other programs with similar purposes and goals. | Yes |
| Tax Expenditure Evaluation: Pine Tree Development Zones | Dept. of Economic and Community Development | Fieldwork | Fiscal impacts, effectiveness of program design; extent to which program is achieving intended purposes and goals; extent to which those benefiting are the intended beneficiaries; administration of the program; and extent to which program is coordinated with, complementary to or duplicative of other programs with similar purposes and goals. | Yes |
| Tax Expenditure Evaluation: New Markets Capital Investment Credit | Finance Authority of Maine | Fieldwork | Fiscal impacts, effectiveness of program design; extent to which program is achieving intended purposes and goals; extent to which those benefiting are the intended beneficiaries; administration of the program; and extent to which program is coordinated with, complementary to or duplicative of other programs with similar purposes and goals. | Yes |

| | | | | Detailed |
|--|--------------------------------------|-------|--|--------------|
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| Completed | | | | |
| DHHS Workplace Culture and Environment | Dept. of Health and Human Services | | Workplace culture and environment within DHHS, including management behavior and treatment of employees, and whether it is conducive to recruiting, retaining and engaging capable, knowledgeable and motivated employees. | No |
| Office of Information Technology | Dept of Admin and Financial Services | | Follow-up to monitor OIT goals and action plans for improvements in project management, disaster recovery and business continuity, and supporting the State's data and information needs. | Yes |
| Riverview Psychiatric Recovery Center | Dept. of Health and Human Services | | Assessment of reporting avenues for staff and patients; response to reports of incidents and professional concerns; data and performance metrics reported by RPC to oversight entities; and other potential areas of concern. | Yes |
| State Funding of Good Will - Hinckley School | Good Will - Hinckley School | | To determine the facts associated with alleged proposed changes to State funding for Good Will - Hinckley School for the upcoming Biennium and any impacts on the School of those changes. | No |
| Special Project: Tax Expenditure Expedited Reviews - Necessity of Life | Various | | Provide Taxation Committee with information for conducting expedited reviews to include: description of the tax policy under review, description of each related tax expenditure and how the benefit is distributed; intended beneficiaries, fiscal impact and legislative history. | No |
| Special Project: Tax Expenditure Programs Phase II | NA | | As established by Resolve Chapter 115 (LD 1463), develop proposal for implementing ongoing Legislative review of tax expenditure programs for consideration by Taxation Committee. Proposal to include classification and schedule for tax expenditure reviews, evaluation parameters and review criteria, data and data sources, options for stakeholder and public comment, assessment of resources needed and suggested revisions to statute. | No |

| Suspended | | | | |
|---|------------------------------------|--|---|----|
| None | | | | |
| Planned | | | | |
| DHHS Audit Functions | Dept. of Health and Human Services | | Effectiveness of DHHS audit functions in identifying and addressing fraud, waste and abuse in programs administered by the Department. | No |
| Independent Living Services (multiple programs) | DOL DHHS Other | | Alignment of programs and resources with needs of eligible client population; efficient use of resources; compliance with State and federal program and funding requirements; coordination among programs; effectiveness of programs and services in support of independent living. | No |
| Public Utilities Commission | Public Utilities Commission | | Assessment of extent to which the PUC independently assesses risks and costs associated with ensuring safe, reasonable and adequate electrical services. | No |
| The Fund for A Healthy Maine | Dept. of Health and Human Services | | Grantees' management and actual use of Fund for a Healthy Maine funds; portion of FHM funds used for administration; extent to which grantees are dependent on FHM funds. | No |